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ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE 697.024US1 Peter Jeremy Dodd APPLICATION NUMBER 04/27/2001 09/843,936

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH P.O. BOX 2938 MINNEAPOLIS, MN 55402

CONFIRMATION NO. 8635

FORMALITIES LETTER *OC000000006216213*

Date Mailed: 06/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming The statutory basic filing fee is missing. such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$320.
 - \$320 for 4 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) The oath or declaration is unsigned. of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/27/2001 EABUBAK1 00000087 09843936

01 FC:201 05 EC:505 03 FC:205 160.00 OP

65.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

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IND CLAIMS TOT CLAIMS FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS GRP ART UNIT FILING DATE APPLICATION NUMBER 18 697.024US1 0.00 1741 04/27/2001 09/843,936

CONFIRMATION NO. 8635

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH P.O. BOX 2938 MINNEAPOLIS, MN 55402

FILING RECEIPT OC000000006216212*

Date Mailed: 06/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Peter Jeremy Dodd, Gerrards Cross, UNITED KINGDOM; Alan Stockwell, Woking, UNITED KINGDOM; lan Duckels, Basingstoke, UNITED KINGDOM;

DV 27 JNW 2001-3mo.105

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/GB99/03547 10/27/1999

Foreign Applications

UNITED KINGDOM 9823509.6 10/27/1998 UNITED KINGDOM 9902543.9 04/02/1999

If Required, Foreign Filing License Granted 06/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Schwegman, Lundberg, Woessner & Kluth, P.A.

Non-Publication Request: No

JUN 2 8 2001

Early Publication Request: No

RECEIVED

Title

Electrical energy storage



STATES PATENT AND TRADEMARK OFFICE IN THE UNITED

eremy Dodd et al.

ELECTRICAL ENERGY STORAGE

Docket No.: 697.024US1 Filed: April 27, 2001 Examiner: Unknown

Serial No.: 09/843,936 Due Date: August 22, 2001 Group Art Unit: 1741

Attn: Box Missing Parts Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard. <u>X</u>
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$515.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney (5 pgs.).
- A Communication re: Incorrect Filing Receipt (1 pg.).
- Copy of Filing Receipt (1 pg.)
- Notice to File Missing Parts (1pg.)

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Name: Timothy B. Clise Reg. No. 40,957

TBC:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day mily Jayeller of August, 2001.

mily Legendre

N 09/843,936

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Peter Jeremy Dodd et al.

Examiner: Unknown

Serial No.:

09/843,936

Group Art Unit: 1741

Filed:

April 27, 2001

Docket: 697.024US1

Title:

ELECTRICAL ENERGY STORAGE

COMMUNICATION RE: MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$515.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 349-9587.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

PETER JEREMY DODD ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 349-9587

Date 4/22/01

Timothy B. C

Reg. No.

TBC:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day of August, 2001.

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PATENT

Group Art Unit: 1741

Docket: 697.024US1

Applicant:

Peter Jeremy Dodd et al.

Serial No.:

09/843,936

Filed:

April 27, 2001

Title:

ELECTRICAL ENERGY STORAGE

COMMUNICATION RE: INCORRECT FILING RECEIPT

Commissioner for Patents

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. Washington, D.C. 20231 In the Filing Receipt received June 28, 2001, (copy enclosed), the date for the Foreign Applications section is incorrect. The filing receipt incorrectly reads "UNITED KINGDOM 9902543.9 04/02/1999" it should read "UNITED KINGDOM 9902543.9 02/04/1999" as evidenced by the Declaration and Power of Attorney document. Please note that on the Declaration and Power of Attorney document the dates are listed in the following order - Day/Month/Year.

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

PETER JEREMY DODD ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 349-9587

Date

Timothy B. Clise

Reg. No. 40,957

TBC:CMG:eml

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, St 22, 2001

Date of Deposit